



Town Hall on Awards Impacted by Stop Work Orders (SWO) and Terminations

September 9, 2025

Presenters

- ❖ Meidy Candia, Associate Controller, Sponsored Projects Financial Administration (SPFA)
- ❖ Jess Caponigro, Associate Controller, Yale School of Medicine (YSM)
- ❖ Youyou Cheng, Deputy Director, Sponsored Projects Financial Administration (SPFA)
- ❖ Jill Ely, Director, YSM Research Administration
- ❖ Darlene Jones, Senior Director, Faculty Research Administration
- ❖ Nancy Kendrick, Director, Sponsored Projects Financial Administration (SPFA)
- ❖ Kathleen King, Associate Director, Sponsored Projects Financial Administration (SPFA)
- ❖ Jim Luther, University Compliance Officer
- ❖ Lisa Mosley, Executive Director, Office of Sponsored Projects (OSP)

Resources Developed

Reference Guide: Federal Terminations and Stop Work Orders

This guide is designed to provide Yale Principal Investigators (PIs) and Department Business Offices (DBOs)/support units with high-level instructions for managing sponsored awards when notified of an award termination or a stop work order (SWO).

Terminated Awards: Final Financial Reporting (FFR) Due Dates Guide

Guide covers the time between an award's official termination date and its final financial report (FFR) or invoice varies by award. To meet sponsor requirements, it is crucial to adhere to all appropriate reviews and reconciliations. SPFA will cover agency due dates and Yale policy on final submission due dates.

Non-Cancellable Commitments (NCC) and Orderly Closeout Charges (OCC) Form

This document should be used as guidance whenever a Principal Investigator (PI) receives an award termination or Stop Work order (SWO) and final reconciliations are underway. Guide covers Non-Cancellable Commitments (NCC) and Orderly Closeout Costs (OCC) during final financial reporting.

Rescinded/Reinstated Award Guidance Document

A rescission is a positive result of an appeal, court order or agency decision, but there are very specific and often complex steps that need to be taken to reinstate the grant. Action will depend on the details of your original grant and the terms of the reinstatement. Here's a general guide to what you should do.

- The Rescinded guide is complete and will be published soon.

Important Links to Bookmark

Main Sites:

- ❖ [SPFA: Federal Funding Impacted by Terminations or Stop Work Orders](#)
- ❖ [Yale's Federal Administration Transition site](#)
- ❖ [Subscribe to be notified of major updates](#)

Resources Referenced in Documents:

- [Reference Guide: Termination of Awards and Stop Work Orders\(Link is external\)](#)
- [Terminated Awards - Due Dates and Timing\(Link is external\)](#)
- [Non-Cancellable Commitments \(NCC\) and Orderly Closeout Charges \(OCC\) Form](#)
- Guidance: Actions for IRB Approved Protocols after Loss of Funding or Sponsor Notice of Termination
- [Guidance: Actions for IACUC Approved Protocols After Loss of Funding or Sponsor Notice of Award Termination or Stop Work Order \(SWO\)](#)

Contact Us!

- ❖ For matters related to federal notifications, subawards, termination notices, and appeals

OSP Help at osphelp@yale.edu

- ❖ For financial post-award inquiries related to closeout cost and final financial reports for federally impacted awards:

SPFA Federal Impact at spfa-federal-impact@yale.edu

- ❖ For Effort related questions for federally impacted awards and certification matters:

effort.reports@yale.edu

Questions?



Raise your hand to ask a question:

- The Zoom 'raised hand' icon, is in the Reactions section of the Zoom toolbar. 🙋
- When your name is called, unmute and ask your question.